

European Research Council

Executive Agency

Established by the European Commission



European Research Council (ERC)

Proposal template for ERC Synergy Grant 2018

Administrative forms (Part A)
Research proposal (Part B1 and Part B2)
Letter of commitment of the host institution

Version 1.0 20 July 2017

Disclaimer

This document is aimed at informing potential applicants for Horizon 2020 funding. It serves only as an example. The actual Web forms and templates, provided in the online proposal submission system under the Participant Portal, might differ from this example. Proposals must be prepared and submitted .via the online proposal submission system under the Participant Portal.



European Research Council Executive Agency

Horizon 2020 Excellent Science

Topic:

Type of action:

Proposal number:

Proposal acronym:

Deadline Id:

Table of contents

Section	Title	Action
1	General information	
2	Participants & contacts	
3	Budget	
4	Ethics	
5	Call-specific questions	

How to fill in the forms

The administrative forms must be filled in for each proposal using the templates available in the submission system. Some data fields in the administrative forms are pre-filled based on the previous steps in the submission wizard.





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Proposal ID	Acronym	Go to

1 - General information

Торі	ic				
Call Identifie					
Type of Actio	n				
Deadline I	d				
Acronym					
Proposal title*	The title should be no longer than 200 characters (with spaces) and should be understandable to the non-specialist in your field.				
	Note that for technical reasons, the following characters are not accepted in the Proposal Title and will be removed: < > " &				
Duration in months	Duration must be between 1 - 72 months				
ı	Please select minimum 4 ERC keywords that best characterise the subject of your proposal.				
ERC Keyword 1*	Please choose one from the list				
ERC Keyword 2*	Please choose one from the list.				
ERC Keyword 3*	Please choose one from the list.				
ERC Keyword 4*	Please choose one from the list.				
ERC Keyword 5	Not applicable				
ERC Keyword 6	Not applicable				
Free keywords	In addition, please enter free text keywords that you consider best characterise the scope of your research proposal. The choice of keywords should take into account any multi-disciplinary aspects of the proposal.				





European Commission - Research - Participants

Proposal Submission Forms

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Abstract*

ne abstract (summary) should, at a glance, provide the reader with a clear understanding of the objectives of the proposal and how they will be achieved. The abstract will be used as the short description of your proposal in the evaluation process and in communications to contact in particular the potential ERC experts reviewing the proposals and/or inform the commission and/or the programme management committees and/or relevant national funding agencies(provided you give
rmission to do so where requested below). It must therefore be short and precise and should not contain confidential formation. Please use plain typed text, avoiding formulae and other special characters. The abstract must be written in
ormation. Flease use plain typed text, avoiding formulae and other special characters. The abstract must be written in aglish. There is a limit of 2000 characters (spaces and line breaks included).

Remaining characters

2000

In order to best review your application, do you agree that the above non-confidential proposal title and abstract can be used, without disclosing your identity, when contacting potential reviewers?*

○ No





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Declarations

In case of a Synergy grant application 'Principal Investigator' means 'corresponding Principal Investigator on behalf of all Principal Investigators' and 'Host Institution' means 'corresponding Host Institution'

investigators, and riost institution means corresponding riost institution.	
1) The Principal Investigator declares to have the written consent of all participants on their participation and on the content of this proposal, as well as of any researcher mentioned in the proposal as participating in the project (either as other PI, team member or collaborator).*	K CO
2) The Principal Investigator declares that the information contained in this proposal is correct and complete.	
3) The Principal Investigator declares that all parts of this proposal comply with ethical principles (including the highest standards of research integrity — as set out, for instance, in the European Code of Conduct for Research Integrity — and including, in particular, avoiding fabrication, falsification, plagiarism or other research misconduct).	
4) The Principal Investigator hereby declares that (please select one of the three options below):	
- in case of multiple participants in the proposal, the Host Institution has carried out the self-check of the financial capacity of the organisation on http://ec.europa.eu/research/participants/portal/desktop/en/organisations/lfv.html or to be covered by a financial viability check in an EU project for the last closed financial year. Where the result was "weak" or "insufficient", the Host Institution confirms being aware of the measures that may be imposed in accordance with the https://example.com/H2020 Grants Manual(Chapter on Financial capacity check) .	О
- in case of multiple participants in the proposal, the Host Institution is exempt from the financial capacity check being a public body including international organisations, higher or secondary education establishment or a legal entity, whose viability is guaranteed by a Member State or associated country, as defined in the H2020 Grants Manual (Chapter on Financial capacity check).	O
- in case of a sole participant in the proposal, the applicant is exempt from the financial capacity check.	0
5) The Principal Investigator hereby declares that each applicant has confirmed to have the financial and operational capacity to carry out the proposed action. Where the proposal is to be retained for EU funding, each beneficiary applicant will be required to present a formal declaration in this respect.	
The Principal Investigator is only responsible for the correctness of the information relating to his/her own organisation. Each remains responsible for the correctness of the information related to him and declared above. Where the proposal to be retain funding, the Host Institution and each beneficiary applicant will be required to present a formal declaration in this respect.	

According to Article 131 of the Financial Regulation of 25 October 2012 on the financial rules applicable to the general budget of the Union (Official Journal L 298 of 26.10.2012, p. 1) and Article 145 of its Rules of Application (Official Journal L 362, 31.12.2012, p.1) applicants found guilty of misrepresentation may be subject to administrative and financial penalties under certain conditions.

Personal data protection

The assessment of your grant application will involve the collection and processing of personal data (such as your name, address and CV), which will be performed pursuant to Regulation (EC) No 45/2001 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data. Unless indicated otherwise, your replies to the questions in this form and any personal data requested are required to assess your grant application in accordance with the specifications of the call for proposals and will be processed solely for that purpose. Details concerning the purposes and means of the processing of your personal data as well as information on how to exercise your rights are available in the <u>privacy statement</u>. Applicants may lodge a complaint about the processing of their personal data with the European Data Protection Supervisor at any time.

Your personal data may be registered in the Early Detection and Exclusion system of the European Commission (EDES), the new system established by the Commission to reinforce the protection of the Union's financial interests and to ensure sound financial management, in accordance with the provisions of articles 105a and 108 of the revised EU Financial Regulation (FR) (Regulation (EU, EURATOM) 2015/1929 of the European Parliament and of the Council of 28 October 2015 amending Regulation (EU, EURATOM) No 966/2012) and articles 143 - 144 of the corresponding Rules of Application (RAP) (COMMISSION DELEGATED REGULATION (EU) 2015/2462 of 30 October 2015 amending Delegated Regulation (EU) No 1268/2012) for more information see the Privacy statement for the EDES Database).





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List of participants

#	Participant Legal Name	Country
1		XO





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Short name

2 - Administrative data of participating organisations

Host Institution

PIC	Legal name	
Short name:		
Address of the organ	isation	

Street

Town

Postcode

Country

Webpage

Legal Status of your organisation

Research and Innovation legal statuses

Public bodyunknown	Legal personunknown
Non-profitunknown	
International organisationunknown	
International organisation of European interest unknown	
Secondary or Higher education establishment unknown	

Enterprise Data

SME self-declared status unknown

SME self-assessment unknown

SME validation sme unknown

Research organisationunknown

Based on the above details of the Beneficiary Registry the organisation is not an SME (small- and medium-sized enterprise) for the call.





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Proposal ID Acronym Short name

Department(s) ca	arrying out the proposed work	
Department 1		
Department name		not applicable
	Same as organisation address	
Street	Please enter street name and number.	
Town		
Postcode		
Country		





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Short name

Corresponding Principal Investigator #1

The following information on the Corresponding Principal Investigator #1 is used to personalise the communications to applicants. Please make sure that your personal information is accurate and for any ERC specific question please contact the ERC using the following e-mail address:

For Synergy Grant Applicants: ERC-2018-SYG-APPLICANTS@ec.europa.eu

The name and e-mail of contact persons including the Principal Investigator, Host Institution contact are read-only in the administrative form, only additional details can be edited here. To give access rights and contact details of contact persons, please save and close this form, then go back to Step 4 of the submission wizard and save the changes.

ORCID ID	If you have a ORCID number pl	ease enter it here (e.g.	9999-9999-999X. wh	nere 9 represents nun	nbers and X represents number
Researcher ID			The maximum length o the minimum length is 9	of the identifier is 11 ca 9 characters (A-1001	haracters (ZZZ-9999-2010) and -2010).
Other ID	Please enter the type of II	D here	Please enter th	he identifier numb	per here
Last Name*			Last Name at Birth		
First Name(s)*			Gender*		○ Female
Title			Country of residen	се	
Nationality*			Country of Birth*		
Date of Birth* (DD/	/MM/YYYY)		Place of Birth*		
Contact addre					
Laboratory name				☐ Same	as organisation address
Street	Please enter street n	ame and number.			
Postcode/Cedex			Town*		
Phone*	+XXX XXXXXXXXX		Country*		
Phone2 / Mobile	+XXX XXXXXXXXX				
E-mail*					





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Acronym

Short name

Contact address of the Host Institution and contact person

The name and e-mail of Host Institution contact persons are read-only in the administrative form, only additional details can be edited here. To give access rights and contact details of Host Institution, please save and close this form, then go back to Step 4 of the submission wizard and save the changes. Please note that the submission is blocked without a contact person and e-mail address for the Host Institution.

Organisation Legal Name

First name*	Last name*		
E-Mail*			
Position in org.	Please indicate the position of the Contact Point above in the organi		
Department	Please indicate the department of the Contact Point above in the o		
	Same as organisation address		
Street	Please enter street name and number.		
Town	Postcode		
Country			
Phone	+xxx xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx		

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3 - Budget

Participant Number in this proposal	Organisation Short Name	Organisation Country	Total eligible costs/€ (including 25% indirect costs)	Requested grant/€
1			0	0
Total			0	0

Go to	

Proposal ID

Acronym

4 - Ethics issues table

1. HUMAN EMBRYOS/FOETUSES			Page
Does your research involve <u>Human Embryonic Stem Cells (hESCs)</u> ?	Yes	○ No	
Will they be directly derived from embryos within this project?	○Yes	No No	
Are they previously established cells lines?	○Yes	No No	
Does your research involve the use of human embryos?	Yes	○No	
Can you confirm that your research will not destroy those embryos?	() Yes	⊙ No	
Does your research involve the use of human foetal tissues / cells?	○ Yes	No	
2. HUMANS			Page
Does your research involve human participants?	Yes	○No	
Are they volunteers for social or human sciences research?	○ Yes	No	
Are they persons unable to give informed consent?	○ Yes	No	
Are they vulnerable individuals or groups?	○ Yes	No	
Are they children/minors?	○Yes	No	
Are they patients?	○ Yes	No	
Are they healthy volunteers for medical studies?	⊖Yes	No	
Does your research involve physical interventions on the study participants?	Yes	○ No	
Does it involve invasive techniques?	○Yes	No	
Does it involve collection of biological samples?	⊖Yes	No	
If your research involves processing of genetic information, see also section 4.			
3. HUMAN CELLS / TISSUES			Page
Does your research involve human cells or tissues (other than from Human Embryos/Foetuses, i.e. section 1)?	Yes	○No	
Are they available commercially?	⊖Yes	● No	
Are they obtained within this project?	○ Yes	No No	



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Are they obtained from another project, laboratory or institution?	○ Yes	No	
Are they obtained from biobank?	○Yes	No No	
1. PERSONAL DATA			Page
Does your research involve personal data collection and/or processing?	Yes	○No	
Does it involve the collection and/or processing of sensitive personal data (e.g.: health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)?	⊖Yes	⊙ No	
Does it involve processing of genetic information?	○Yes	No	
Does it involve tracking or observation of participants?	(Yes	● No	
Does your research involve further processing of previously collected personal data secondary use)?	○Yes	No	
5. ANIMALS			Page
Does your research involve animals?	Yes	○No	
Are they vertebrates?	○Yes	● No	
Are they non-human primates?	○ Yes	● No	
Are they genetically modified?	○ Yes	● No	
Are they cloned farm animals?	○Yes	No No	
Are they endangered species?	○Yes	No No	
Please indicate the species involved(Maximum number of characters allowed: 1000)		- 1	
S. THIRD COUNTRIES			Page
n case non-EU countries are involved, do the research related activities undertaken in hese countries raise potential ethics issues?	Yes	○No	
Specify the countries involved:(Maximum number of characters allowed: 1000)			

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	rces (e.g. animal and/or human tissue samples, genetion imains, materials of historical value, endangered fauna o		● No	
Do you plan to import any mater the EU?	rial - including personal data - from non-EU countries into	Yes	○No	
Specify material and countries i	involved: (Maximum number of characters allowed: 1000)			
Do you plan to export any mat countries?	erial - including personal data - from the EU to non-EU	Yes	● No	
	involved: (Maximum number of characters allowed: 1000)			
In case your research involves <u>l</u> benefits-sharing actions planned	ow and/or lower middle income countries, are any d?	○Yes	No	
Could the situation in the countr	y put the individuals taking part in the research at risk?	○Yes	No	
7. ENVIRONMENT & HEALTH :	and SAFETY			Page
Does your research involve environment, to animals or plant	the use of elements that may cause harm to the	Yes	● No	
Does your research deal with er	ndangered fauna and/or flora and/or protected areas?	○ Yes	No	
Does your research involve th including research staff?	ne use of elements that may cause harm to humans	' C Yes	● No	
8. DUAL USE				Page
Does your research involve dua or other items for which an author	I-use items in the sense of Regulations 428/2009, orisation is required?	○ Yes	No	
9. EXCLUSIVE FOCUS ON CIV	'IL APPLICATIONS			Page
Could your research raise conce	erns regarding the exclusive focus on civil applications?	○ Yes	● No	
10. MISUSE				Page
Does your research have the po	otential for misuse of research results?	○ Yes	No	

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Proposal ID	Acronym				
11. OTHER ETHIC	S ISSUES				Page
Are there any other	r ethics issues that should be taken into consideration? F	Please specify	Yes	○No	
Please specify: (M	laximum number of characters allowed: 1000)				
	ve taken into account all ethics issues described above a ete the ethics self-assessment and attach the required do		ethics is	sues	

How to Complete your Ethics Self-Assessment





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5 - Call specific questions

Eligibility	
As the corresponding Principal Investigator, I confirm that each Principal Investigator will spend a minimum of 50% of their total working time in an EU Member State or Associated Country.	• Yes O No
As the corresponding Principal Investigator I acknowledge that all PIs are aware of the eligibility requirements for applying for this ERC call as specified in the ERC Work Programme 2018, and certify that, to the best of my knowledge this application is in compliance with all these requirements. I understand that this proposal may be declared ineligible at any point during the evaluation or granting process if it is found not to be compliant with these eligibility criteria.*	
Data-Related Questions and Data Protection Consent to any question below is entirely voluntary. A positive or negative answer will not affect the evaluation of your project propowill not be communicated to the evaluators of your project. By replying 'yes' to the questions below, the Corresponding PI gives constitue other PIs, and confirms both that he/she has obtained the prior informed individual consent of each of the PIs and can provide exconsents, if so requested.	sent on behalf of all
For communication purposes only, the ERC asks for your permission to publish the names of the participating PIs, the proposal title, the proposal acronym and Host Institutions, should this proposal be retained for funding.	○ Yes ○ No
Some national and regional public research funding authorities run schemes to fund ERC applicants that score highly in the ERC's evaluation but which cannot be funded by the ERC due to its limited budget. In case the proposal could not be selected for funding by the ERC do you consent to allow the ERC to disclose the results of the evaluation of this proposal (score and ranking range) together with all PIs' names, non-confidential proposal title and abstract, proposal acronym, Host Institutions and contact details to such authorities?	○ Yes ○ No
The ERC is sometimes contacted for lists of ERC funded researchers by institutions that are awarding prizes to excellent researchers. Do you consent to allow the ERC to disclose all PIs' names, non-confidential proposal title and abstract, proposal acronym, Host Institutions and contact details to such institutions?	○ Yes ○ No
For purposes related to monitoring, study and evaluating implementation of ERC actions, the ERC may no submitted proposals and their respective evaluation data be processed by external parties. Any processin conducted in compliance with the requirements of Regulation 45/2001.	
Have any of the Principal Investigators previously submitted a proposal to the ERC?	
You can specify up to four proposals submitted most recently by any of the participating Principal	○ Yes ○ No





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Excluded Reviewers

You can provide up to four names of persons that should not act as an evaluator in the evaluation of the proposal for potential competitive reasons.





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Extended Open Research Data Pilot in Horizon 2020

If selected, all applicants will participate in the <u>Pilot on Open Research Data in Horizon 2020</u>¹, which aims to improve and maximise access to and re-use of research data generated by actions.

However, participation in the Pilot is flexible in the sense that it does not mean that **all** research data needs to be open. After the action has started, participants will formulate a <u>Data Management Plan (DMP)</u>, which should address the relevant aspects of making data FAIR - findable, accessible, interoperable and re-usable, including what data the project will generate, whether and how it will be made accessible for verification and re-use, and how it will be curated and preserved. Through this DMP projects can define certain datasets to remain closed according to the principle "as open as possible, as closed as necessary". A Data Management Plan does **not** have to be submitted at the proposal stage.

Furthermore, applicants also have the possibility to opt out of this Pilot completely at any stage (before or after the grant signature), thereby freeing themselves retroactively from the associated obligations.

Please note that participation in this Pilot does not constitute part of the evaluation process. Proposals will not be penalised for opting out.

We wish to opt out of the Pilot on Open Research Data in Horizon 2020.

OYes

● No

According to article 43.2 of Regulation (EU) No 1290/2013 of the European Parliament and of the Council, of 11 December 2013, laying down the rules for participation and dissemination in "Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020)" and repealing Regulation (EC) No 1906/2006.





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Validation result

Shov	v Error

The red 'Show Error' button indicates an error due to a missing or incorrect value related to the call eligibility criteria. The submission of the proposal will be blocked unless that specific field is corrected!

Show Warning

The yellow 'Show Warning' button indicates a warning due to a missing or incorrect value related to the call eligibility criteria. The submission of the proposal **will not be blocked** (proposal will be submitted with the missing or incorrect value).

Section

Description

The form has not yet been validated, click "Validate Form" to do so!

ERC Synergy Grant 2018 Research proposal [Part B1]¹ (Part B1 is evaluated in Step 1, Step 2 and Step 3,

Part B2 is evaluated in Step 1, Step 2 and Step 3

Part B2 is only evaluated in Step 2 and Step 3)

Proposal Full Title

PROPOSAL ACRONYM

Cover Page:

- Name of the corresponding Principal Investigator (cPI) and corresponding Host Institution (cHI)
- List the other PIs, indicating the Host Institution of each PI
- Proposal duration in months

Text highlighted in grey should be deleted.

Proposal summary (identical to the abstract from the online proposal submission forms, section 1).

The abstract (summary) should, at a glance, provide the reader with a clear understanding of the objectives of the research proposal and how they will be achieved. The abstract will be used as the short description of your research proposal in the evaluation process and in communications to potential remote referees and/or to inform the Commission and/or the programme management committees and/or relevant national funding agencies (provided you give permission to do so where requested in the online proposal submission forms, section 1). It must therefore be short and precise and should not contain confidential information.

Please use plain typed text, avoiding formulae and other special characters. The abstract must be written in English. There is a limit of 2000 characters (spaces and line breaks included).

1

¹ Instructions for completing Part B1 can be found in the 'Information for Applicants to the Synergy Grant 2018 Call'.

Section a: Extended Synopsis of the scientific proposal (max. 5 pages)

[The Extended Synopsis should give a concise presentation of the scientific proposal, with particular attention to the ground-breaking nature of the research project, which will allow evaluation panels to assess, in Step 1 of the evaluation, the feasibility of the outlined scientific approach. Describe the proposed work in the context of the state of the art of the field. It is important that the extended synopsis contains minimum information relevant to the evaluation criteria, since the step 1 panel will have access only to part B1. Describe the synergy, the complementarity and the working arrangement aspects of the group.

References to literature should also be included. Please use a reference style that is commonly used in your discipline such as American Chemical Society (ACS) style, American Medical Association (AMA) style, Modern Language Association (MLA) style, etc. and that allows the evaluators to easily retrieve each reference. References do not count towards the page limits.]

Please respect the following formatting constraints: Times New Roman, Arial or similar, at least font size 11, margin sizes (2.0 cm side and 1.5 cm top and bottom), single line spacing.

Section b: Curriculum vitae (max. 2 pages for each PI)

[The template below is provided only for guidance. It may be modified as necessary and appropriate.]

PERSONAL INFORMATION

Family name, First name:

Researcher unique identifier(s) (such as ORCID, Research ID, etc. ...):

Date of birth:

Nationality:

URL for web site:

EDUCATION

199? PhD

Name of Faculty/ Department, Name of University/ Institution, Country

199? Master

Name of Faculty/ Department, Name of University/ Institution, Country

• CURRENT POSITION(S)

201? – 201? Current Position

Name of Faculty/ Department, Name of University/ Institution/ Country

200? – Current Position

Name of Faculty/ Department, Name of University/ Institution/ Country

• PREVIOUS POSITIONS

200? – 200? Position held

Name of Faculty/ Department, Name of University/ Institution/ Country

200? – 200? Position held

Name of Faculty/ Department, Name of University/ Institution/ Country

• FELLOWSHIPS AND AWARDS

200? – 200? Name of Faculty/ Department/Centre, Name of University/ Institution/ Country

200? Award received from Name of Institution/ Country

198? – 199? Scholarship, Name of Faculty/ Department/Centre, Name of University/ Institution/

Country

• SUPERVISION OF GRADUATE STUDENTS AND POSTDOCTORAL FELLOWS

200? – 200? Number of Postdocs/ PhD/ Master Students

Name of Faculty/ Department/ Centre, Name of University/ Institution/ Country

• TEACHING ACTIVITIES (if applicable)

200? – Teaching position – Topic, Name of University/ Institution/ Country 200? – 200? Teaching position – Topic, Name of University/ Institution/ Country

• ORGANISATION OF SCIENTIFIC MEETINGS (if applicable)

201?	Please specify your role and the name of event / Country
200?	Please specify type of event / number of participants / Country

• INSTITUTIONAL RESPONSIBILITIES (if applicable)

201? –	Faculty member, Name of University/ Institution/ Country
201? - 201?	Graduate Student Advisor, Name of University/ Institution/ Country
200? - 200?	Member of the Faculty Committee, Name of University/ Institution/ Country
200? - 200?	Organizer of the Internal Seminar, Name of University/ Institution/ Country
200? - 200?	Member of a Committee; role, Name of University/ Institution/ Country

• COMMISSIONS OF TRUST (if applicable)

201? –	Scientific Advisory Board, Name of University/ Institution/ Country
201? –	Review Board, Name of University/ Institution/ Country
201? –	Review panel member, Name of University/ Institution/ Country
201? –	Editorial Board, Name of University/ Institution/ Country
200? –	Scientific Advisory Board, Name of University/ Institution/ Country
200? –	Reviewer, Name of University/ Institution/ Country
200? –	Scientific Evaluation, Name of University/ Institution/ Country
200? –	Evaluator, Name of University/ Institution/ Country

• MEMBERSHIPS OF SCIENTIFIC SOCIETIES (if applicable)

201? –	Member, Research Network "Name of Research Network"
200? –	Associated Member, Name of Faculty/ Department/Centre, Name of University/
	Institution/ Country
200? –	Founding Member, Name of Faculty/ Department/Centre, Name of University/ Institution/
	Country

• MAJOR COLLABORATIONS (if applicable)

Name of collaborators, Topic, Name of Faculty/ Department/Centre, Name of University/ Institution/ Country

• CAREER BREAKS (if applicable)

Exact dates Please indicate the reason and the duration in months.

Appendix

All ongoing and submitted grants and funding of each of the PIs (Funding ID)

<u>Mandatory information</u> (does not count towards page limits)

(Please include as many tables as participating Principal Investigators in the group).

On-going Grants

Project Title	Funding source	Amount (Euros)	Period	Role of the PI	Relation to current ERC proposal ²

Applications

Project Title	Funding source	Amount (Euros)	Period	Role of the PI	Relation to current ERC proposal ²
			\ \ \ \		

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² Describe clearly any scientific overlap between your ERC application and the current research grant or on-going grant application.

Section c: Early achievement track-record / Ten years track-record (max. 2 pages for each PI)

(see 'Information for Applicants to the Synergy Grant 2018 Call' – instructions for completing 'Part B' of the proposal)

ERC Synergy Grant 2018 Research proposal [Part B2]¹ (not evaluated in Step 1)

- Name of the corresponding Principal Investigator (cPI) and corresponding Host Institution (cHI)
- List the other PIs, indicating the Host Institution of each PI

Part B2: <u>The scientific proposal</u> (max. 15 pages, excluding the Resources section and References)

Text highlighted in grey should be deleted.

Please respect the following formatting constraints: Times New Roman, Arial or similar, at least font size 11, margin sizes (2.0 cm side and 1.5 cm top and bottom), single line spacing. <u>References and Resources</u> section do not count towards the page limit.

Section a. State-of-the-art and objectives

Section b. Methodology

Section c. Resources (including project costs)

(Note: Describe the resources needed according to the indications in the *Information for Applicants to the Synergy Grant 2018 call*, section 1.1.2.2 *Instructions for completing 'Part B' of the proposal*.

Each PI is required to fill in their budget breakdown using the following budget table and the declaration of their level of commitment to the project. Depending on the number of PIs you may delete unneeded columns. All eligible costs requested should be included in the budget. Please use whole euro values only. In case you are requesting additional funding (up to EUR 4 million) above the normal EUR 10 million, include these top-up costs in the budget table as well and justify your request in the second table at the end. In addition to the budget table, please describe and fully justify the amount of funding considered necessary to fulfil the objectives throughout the duration of the project.

¹ Instructions for completing Part B2 can be found in the 'Information for Applicants to the Synergy Grant 2018 Call'.

1

Cost category		Corresponding PI	2 nd PI	3 rd PI	4 th PI	Total in euro	
PI name							
	Host In	stitution					
	ıel	PI ³					
		Senior Staff					XO
	Personnel	Postdocs					
	Pe	Students					
		Other					V
Direct Costs ²	i. Total Direct costs for Personnel (in euro)						
irect	Travel						
Ď	Equipment						
	Other goods and services	Consumables					
		Publications ⁴		V.			
		Other (please specify)					
	ii. Total Other Direct Costs (in euro)						
A – Total Direct Costs (i + ii) (in euro)				\cup			
B – Indirect Costs			•				
(overheads) 25% of Direct Costs ⁵ (in euro)							
C1 – Subcontracting Costs		7,1					
(no overheads) (in euro) C2 – Other Direct Costs							
with no overheads ⁶ (in euro)							
Total Estimated Eligible							
Costs $(A + B + C)$ (in euro)							
Total Requested Grant (in							
euro)							

The project cost estimation should be as accurate as possible. Significant mathematical mistakes may reflect poorly on the credibility of the budget table and the proposal overall. The evaluation panels assess the estimated costs carefully; unjustified budgets will be consequently reduced. The Total Estimated Eligible Costs and the Total Requested Grant amounts in the table MUST match those presented in the online proposal submission form, section 3 – Budget.

² An additional cost category 'Direct costing for Large Research Infrastructures' applicable to H2020 can be added to this table (below 'Other Goods and services') for PIs who are hosted by institutions with Large Research Infrastructures of a value of at least EUR 20 million and only after having received a positive ex-ante assessment from the Commission's services.

³ When calculating the salary, please take into account the percentage of each PI's dedicated working time to run the ERC funded project (i.e. minimum 30% of the total working time).

⁴ **Include** in the Publications costs **Open Access fees**, dissemination activities, etc.

⁵ Please note that the overheads are fixed to a flat rate of exactly 25%.

⁶ Such as the costs of resources made available by third parties which are not used on the premises of the beneficiary

In case you are requesting additional funding (up to EUR 4 million) above the normal EUR 10 million, fully justify your request by filling in the table below (please delete the table if not applicable). Include these costs in the above budget table.

Request for additional funding above EUR 10 000 000 for	Justification
Keep only the category(ies) that apply to the project.	
(a) covering eligible 'start-up' costs for a PI moving from another country to the EU or an Associated Country as a consequence of receiving an ERC grant and/or,	
(b) the purchase of major equipment and/or, (c) access to large facilities.	

Please indicate the duration of the project in months ⁷ :	
Please indicate the % of working time the PI dedicates to the project over the period of the grant:	%
Corresponding PI name:	
2 nd PI name:	
3 rd PI name:	
4 th PI name:	

Each PI must specify briefly their commitment to the project and how much time each one of them is willing to devote to the proposed project. Please note that each PI is expected to devote at least 30% of their total working time to the ERC project.

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⁷ The maximum award is reduced pro rata temporis for projects of a shorter duration than 72 months (e.g. for a project of 60 months duration the maximum requested EU contribution allowed is EUR 8 333 333). Additional funding to cover major one-off costs is not subject to pro-rata temporis reduction for projects of shorter duration (e.g. with additional funding it is possible to request a maximum EU contribution of EUR 12 333 333 million for a project of 60 months duration).

Print on paper bearing the official letterhead of the institution

Commitment of the corresponding host institution for the ERC Synergy Call 2018^{1, 2, 3}

The <<pre>clease fill in here the name of the legal entity that is associated to the proposal
and may host the corresponding principal investigator and the project in case the
application is successful>>, which is the corresponding applicant legal entity, confirms its
intention to sign a supplementary agreement with

<< please fill in here the name of the corresponding principal investigator and, where applicable, of the principal investigator(s) that will be engaged by the corresponding host institution >> 4.5

in which the obligations listed below will be addressed should the proposal entitled

<< short name of the proposal: title of the proposal>>

be retained.

The corresponding applicant legal entity confirms also its association with and support to the Synergy project which involves the following Principal Investigators:

<< Please enter below the name of the corresponding principal investigator and of all principal investigators participating in the project.>>

Corresponding PI:	
PI 2:	
PI 3 (if applicable):	***************************************
PI 4 (if applicable):	

The fact that the corresponding applicant legal entity confirms it association with and support to the group's Synergy project does not imply an obligation to contractually engage all of the Principal Investigators.

¹ A scanned copy of the signed statement should be uploaded electronically via the Participant Portal Submission Service in PDF format.

This statement (on letterhead paper) shall be dated, stamped and signed by the corresponding institution's legal representative, stating their name, function, email address.

⁴ Please insert the names only of those Principal Investigators that will be engaged by the corresponding host institution.

⁵ In case of more than one beneficiary, the other host institution(s) will be requested to sign a supplementary agreement with their respective Principal Investigators and undertake the same obligations with their respective Principal Investigators at the moment of granting.

Performance obligations of the corresponding applicant legal entity that will become the beneficiary of the H2020 ERC Grant Agreement (hereafter referred to as the Agreement), should the proposal be retained and the preparation of the Agreement be successfully concluded:

The following obligations apply <u>only</u> to the Principal Investigators, hereinafter referred as the PI(s), who will be engaged by the corresponding applicant legal entity.

The corresponding applicant legal entity commits itself to hosting and engaging the *PI(s)* for the duration of the grant to:

- a) ensure that the work will be performed under the scientific guidance of the PI(s) who are expected to devote at least 30% of their total working time to the ERC-funded project (action) and spend at least 50% of their total working time in an EU Member State or associated country.
- b) carry out the work to be performed, as it will be identified in Annex 1 of the Agreement, taking into consideration the specific role of the *PI(s.)*
- c) enter before signature of the Agreement into a 'supplementary agreement' with the PI(s), that specifies the obligation of the corresponding applicant legal entity to meet its obligations under the Agreement;
- d) provide the PI(s) with a copy of the signed Agreement;
- e) guarantee the PI(s) scientific independence, in particular for the:
 - i) use of the budget to achieve the scientific objectives;
 - ii) authority to publish as senior author and invite as co-authors those who have contributed substantially to the work;
 - iii) preparation of scientific reports for the project (action);
 - iv) selection and supervision of the other team members (hosted and engaged by the corresponding applicant legal entity or other legal entities), in line with the profiles needed to conduct the research and in accordance with the corresponding applicant legal entity's usual management practices;
 - v) possibility to apply independently for funding;
 - vi) access to appropriate space and facilities for conducting the research;
- f) provide during the implementation of the project (action) research support to the PI(s) and the team members (regarding infrastructure, equipment, access rights, products and other services necessary for conducting the research);
- g) support the PI(s) and provide administrative assistance, in particular for the:
 - i) general management of the work and their team;
 - ii) scientific reporting, especially ensuring that the team members send their scientific results to the PI(s);

- iii) financial reporting, especially providing timely and clear financial information;
- iv) application of the corresponding applicant legal entity's usual management practices;
- v) general logistics of the project (action);
- vi) access to the electronic exchange system (see Article 52 of the Agreement);
- h) inform the *PI(s)* immediately in writing of any events or circumstances likely to affect the Agreement (see Article 17 of the Agreement);
- i) ensure that the PI(s) enjoys adequate:
 - i) conditions for annual, sickness and parental leave;
 - ii) occupational health and safety standards;
 - iii) insurance under the general social security scheme, such as pension rights;
- j) allow the transfer of the Agreement to a new beneficiary ('portability'; see Article 56a of the Agreement).
- k) take all measures to implement the principles set out in the Commission Recommendation on the European Charter for Researchers and the Code of Conduct for the Recruitment of Researchers⁶ - in particular regarding working conditions, transparent recruitment processes based on merit and career development – and ensure that the PI(s), researchers and third parties involved in the project (action) are aware of them.

For the host institution (corresponding applicant legal entity)

Date	
Name and Function	
E-mail and Signature	of legal representative
;	

Stamp of the corresponding host institution (applicant legal entity)

IMPORTANT NOTE: In order to be complete all the above mentioned items are mandatory and shall be included in the commitment of the corresponding host institution.

⁶ Commission Recommendation 2005/251/EC of 11 March 2005 on the European Charter for Researchers and on a Code of Conduct for the Recruitment of Researchers (OJ L 75, 22.3.2005, p. 67).